

**中国神华**  
**CHINA SHENHUA ENERGY COMPANY LIMITED**  
(  
( :01088) )

13.10B

(www.sse.com.cn) 2017 10 28  
2017

2017 10 27

601088



**2017**

.....	3
.....	3
.....	9
.....	18

1.1

1.2

1.3

1.4

2.3

2.1

			(%)
	<b>560,034</b>	571,664	(2.0)
	<b>292,242</b>	312,357	(6.4)
/	<b>14.69</b>	15.70	(6.4)
	<b>1-9</b>	1-9	(%)
	<b>83,814</b>	62,174	34.8
	<b>71,038</b>	71,453	(0.6)
/	<b>4.21</b>	3.13	34.8
/	<b>3.57</b>	3.59	(0.6)

	<b>1-9</b>	1-9	%
	<b>182,535</b>	124,803	46.3
	<b>53,705</b>	30,676	75.1
	<b>35,649</b>	17,307	106.0
	<b>35,028</b>	17,488	100.3
%	<b>10.74</b>	5.72	5.02
/	<b>1.792</b>	0.870	106.0
/	<b>1.792</b>	0.870	106.0
/	<b>1.761</b>	0.879	100.3
%	<b>10.57</b>	5.78	4.79

	<b>7-9</b>	7-9	%
	<b>62,017</b>	46,080	34.6
	<b>17,896</b>	12,256	46.0
	<b>11,334</b>	7,479	51.5
	<b>11,252</b>	7,566	48.7
%	<b>3.96</b>	2.48	1.48
/	<b>0.570</b>	0.376	51.5
/	<b>0.570</b>	0.376	51.5
/	<b>0.566</b>	0.380	48.7
%	<b>3.93</b>	2.51	1.42

## 2.2

	<b>7 9</b>	<b>1-9</b>
	7	463
	2	2
	52	238
	5	4
	29	216
	(64)	14
	0	(2)
	7	(223)
	44	(91)
	82	621

## 2.3

	2017		2016	
	<b>1-9</b>	1-9	<b>9 30</b>	12 31
	<b>35,649</b>	17,307	<b>292,242</b>	312,357
	<b>2,961</b>	1,341	<b>4,260</b>	4,618
	<b>38,610</b>	18,648	<b>296,502</b>	316,975

## 2.4

		2017		2016		(%)	
		7-9	1-9	7-9	1-9	7-9	1-9
1.		<b>69.6</b>	<b>221.3</b>	73.6	213.3	(5.4)	3.8
2.		<b>107.8</b>	<b>328.3</b>	101.9	288.2	5.8	13.9
1.		<b>74.61</b>	<b>196.66</b>	63.86	174.87	16.8	12.5
2.		<b>69.76</b>	<b>184.19</b>	59.44	163.34	17.4	12.8
1.		<b>79.9</b>	<b>251.7</b>	84.2	213.8	(5.1)	17.7
2.		<b>67.4</b>	<b>237.8</b>	81.2	206.0	(17.0)	15.4
1.		<b>69.3</b>	<b>205.7</b>	61.4	181.2	12.9	13.5
2.		<b>63.9</b>	<b>195.7</b>	58.0	170.3	10.2	14.9
		<b>45.4</b>	<b>137.1</b>	41.9	118.4	8.4	15.8
		<b>11.5</b>	<b>32.7</b>	9.8	30.2	17.3	8.3
3.		<b>24.1</b>	<b>70.1</b>	20.9	57.2	15.3	22.6
4.		<b>20.4</b>	<b>60.3</b>	17.2	46.2	18.6	30.5

## 2.5

				204,189		
A				201,931		
H				2,258		
		(%)				
	14,530,574,452	73.06	0			
HKSCC NOMINEES LIMITED	3,390,562,095	17.05	0			
	662,901,417	3.33	0			
	110,027,300	0.55	0			
	38,979,273	0.20	0			
50	15,719,365	0.08	0			
50	13,023,640	0.07	0			
	11,093,927	0.06	0			
	10,423,025	0.05	0			
	10,009,890	0.05	0			



	14,530,574,452		14,530,574,452
HKSCC NOMINEES LIMITED	3,390,562,095		3,390,562,095
	662,901,417		662,901,417
	110,027,300		110,027,300
	38,979,273		38,979,273
50	15,719,365		15,719,365
50	13,023,640		13,023,640
	11,093,927		11,093,927
	10,423,025		10,423,025
	10,009,890		10,009,890
	HKSCC Nominees Limited		
	50		

HKSCC Nominees Limited

H

A

2.6

3.1

2017 1-9 182,535 2016  
 124,803 46.3% 53,705 2016  
 30,676 75.1% 35,649 2016  
 17,307 106.0% 2017 9 30 34.4%  
 2016 12 31 33.5% 0.9

		<b>2017 1-9</b>	2016 1-9	(%)	
1		<b>182,535</b>	124,803	46.3	
2		<b>106,436</b>	73,457	44.9	
3		<b>7,243</b>	4,537	59.6	
4		<b>13,741</b>	12,391	10.9	
5		<b>2,630</b>	3,952	(33.5)	
6		<b>389</b>	25	1,456.0	1.50 /
7		<b>1,154</b>	201	474.1	
8		<b>162</b>	0		2017 1 1
9		<b>967</b>	1,040	(7.0)	2017 1 1
10		<b>250</b>	631	(60.4)	
11		<b>11,261</b>	7,170	57.1	2017 21.0% 2016 23.4% 2.4

		<b>2017</b>	2016		
		<b>9 30</b>	12 31	(%)	
1		<b>71,530</b>	50,757	40.9	
2		<b>6,359</b>	4,394	44.7	
3		<b>8,477</b>	42,701	(80.1)	
4		<b>5,103</b>	1,800	183.5	
5		<b>41,434</b>	31,627	31.0	
6		<b>1,599</b>	3,593	(55.5)	
7		<b>6,655</b>	4,384	51.8	
8		<b>2,110</b>	3,174	(33.5)	
9		<b>7,875</b>	4,196	87.7	
10		<b>5,484</b>	3,727	47.1	
11		<b>522</b>	705	(26.0)	
12		<b>1,650</b>	2,665	(38.1)	
13		<b>38,901</b>	23,157	68.0	
14		<b>8,506</b>	27,819	(69.4)	
15		<b>63,625</b>	58,462	8.8	
16		<b>11,576</b>	15,316	(24.4)	
17		<b>12,713</b>	9,394	35.3	
18		<b>173,363</b>	196,786	(11.9)	
19		<b>292,242</b>	312,357	(6.4)	

		<b>2017</b>	2016		
		<b>1-9</b>	1-9	(%)	
1		<b>83,814</b>	62,174	34.8	1-9
		<b>12,776</b>	(9,279)	(237.7)	
		<b>71,038</b>	71,453	(0.6)	
2		<b>19,018</b>	(16,377)	(216.1)	
3		<b>(79,756)</b>	(14,853)	437.0	

3.2

1

7-9

	2017 7-9			2016 7-9				
		%	/		%	/	%	% 1
	105.8	98.1	418	99.2				

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2017

120.0%

2017

2016

2016

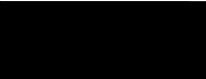
2

		<b>2017</b>	<b>1-9</b>	2016	1-9	%	
		<b>142,886</b>		85,197		67.7	
		<b>100,172</b>		69,295		44.6	
		<b>42,714</b>		15,902		168.6	
	%	<b>29.9</b>		18.7		11.2	

3

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**2017** 1-9



## 3.3

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7-9

/							/	
	2017 7-9	2016 7-9	2017 7-9	2016 7-9	2017 7-9	2016 7-9	2017 7-9	2016 7-9
	<b>74.20</b>	<b>63.44</b>	<b>69.40</b>	<b>59.08</b>	<b>1,305</b>	<b>1,174</b>	<b>312</b>	<b>301</b>
	72.48	61.76	67.72	57.44	1,318	1,184	307	297
	0.01	0.00	0.01	0.00	241	321	604	604
	0.22	0.23	0.22	0.23	1,819	1,837	167	197
	1.49	1.45	1.45	1.41	865	836	571	453
	<b>0.41</b>	<b>0.42</b>	<b>0.36</b>	<b>0.36</b>	<b>1,385</b>	<b>1,395</b>	<b>472</b>	<b>460</b>
	0.41	0.42	0.36	0.36	1,385	1,395	472	460
/	<b>74.61</b>	<b>63.86</b>	<b>69.76</b>	<b>59.44</b>	<b>1,305</b>	<b>1,175</b>	<b>313</b>	<b>302</b>
2016 7-9	2,598		2,070					

1-9

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2

	2016 12 31	2017 1-9	2017 9 30
1.	54,417	880	55,297
2.	16	0	16
3.	125	0	125
4.	1,730	0	1,730
	56,288	880	57,168

2017 1-9 880  
 220 30 90 100  
 660 #3

3

	2017 1-9	2016 1-9	(%)
	<b>58,600</b>	51,108	14.7
	<b>47,755</b>	34,414	38.8
	<b>10,845</b>	16,694	(35.0)
%	<b>18.5</b>	32.7	14.2

2017 1-9 257.4 / 2016  
 208.7 / 23.3%

4

2017 10 5 7  
 2 1,050 2020 2015  
 12 28 H 2015 12 29 A

3.4

1

	2017 1-9	2016 1-9	%
	<b>23,790</b>	21,831	9.0
	<b>4,169</b>	2,646	57.6
	<b>27,959</b>	24,477	14.2

2

	2017 1-9	2016 1-9	%
	3,655	3,269	11.8
	580	459	26.4
	4,235	3,728	13.6

3.5

2017

	GDP	6.9%	0.2	
PPI	6.5%	2016	2.9%	
1-9				
				9
				5500
	586 /	7 /		587 /
1-9		25.9	5.7%	40.9%
			29.4	7.1%
			4.5%	
			2.0	
			13.7%	
	34,525		6.3%	7-9
				5.4%
	4.6			
	47			

3.6

1

2017 8



2017 1-9 16.3 18.5%  
17.6 8.8%

2

2017 8 28

“ ”

15

3

19

3

“ ”

2017 8 28 H

2017 8

29 A

3.7

3.8

2017 1-9

106.0% 2017

2016

2017

80%

3.9

3.10

/

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2017 10 27

## 4.1

2017 9 30

:

	71,530	50,757
	52	54
	6,359	4,394
	14,553	16,179
	3,527	3,141
	3,283	2,896
	13,198	13,341
	8,477	42,701
	120,979	133,463
	5,103	1,800
	5,277	5,078
	305,807	316,489
	41,434	31,627
	1,599	3,593
	37,268	38,502
	2,588	2,693
	4,297	4,363
	35,682	34,056
	439,055	438,201
	560,034	571,664
	6,655	4,384
	2,110	3,174
	30,072	31,982
	7,875	4,196
	5,484	3,727
	10,086	10,376
	522	705
	1,650	2,665

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	38,901	23,157
	8,506	27,819
	111,861	112,185
	63,625	58,462
	11,576	15,316
	2,263	2,383
	2,739	2,617
	761	797
	80,964	79,575
	192,825	191,760
	19,890	19,890
	74,729	74,729
	114	125
	12,713	9,394
	11,433	11,433
	173,363	196,786
	292,242	312,357
	74,967	67,547
	367,209	379,904
	560,034	571,664

2017 9 30

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	48,289	47,361
	1,382	1,158
	22,362	21,401
	277	203
	4,699	4,956
	6,489	4,749
	3,349	3,591
	36,144	74,874
	122,991	158,293
	4,819	1,647
	126,638	123,346
	35,929	38,555
	3,281	3,371
	65	75
	14,563	14,591
	1,246	1,217
	521	479
	34,554	35,636
	221,616	218,917
	344,607	377,210
	7,000	5,000
	737	160
	5,491	6,091
	81	64
	2,445	1,690
	5,401	5,806
	268	383
	4,073	4,232
	428	20,490
	68,333	53,270
	94,257	97,186
	4,867	3,460
	4,991	4,985

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	791	872
	1,269	1,224
	11,918	10,541
	106,175	107,727
	19,890	19,890
	77,429	77,429
	16	30
	9,461	6,855
	11,433	11,433
	120,203	153,846
	238,432	269,483
	344,607	377,210

2017 1-9

	7-9	7-9	1-9	1-9
	62,017	46,080	182,535	124,803
	62,017	46,080	182,535	124,803
	44,418	34,104	130,863	94,750
	36,515	26,834	106,436	73,457
	2,373	1,625	7,243	4,537
	139	135	424	388
	4,797	4,337	13,741	12,391
	591	1,153	2,630	3,952
	3	20	389	25
	1	2	0	13
“ ”	255	149	1,154	201
	189	113	412	148
	44	0	162	0
“ ”	17,899	12,127	52,988	30,267
	100	335	967	1,040
	14	7	474	19
	103	206	250	631
	6	10	10	169
“ ”	17,896	12,256	53,705	30,676
	4,020	2,427	11,261	7,170
“ ”	13,876	9,829	42,444	23,506
	11,334	7,479	35,649	17,307
	2,542	2,350	6,795	6,199
	(67)	87	(38)	235
	(52)	84	(11)	223

	<b>7-9</b>	<b>7-9</b>	<b>1-9</b>	<b>1-9</b>
	0	0	11	(7)
1.	0	0	11	(7)
	(52)	84	(22)	230
1.	0	0	1	15
2.	5	0	14	0
5.	(57)	84	(37)	215
	(15)	3	(27)	12
	13,809	9,916	42,406	23,741
	11,282	7,563	35,638	17,530
	2,527	2,353	6,768	6,211
( / )	0.570	0.376	1.792	0.870
( / )	0.570	0.376	1.792	0.870

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2017 1-9

	<b>7-9</b>	<b>7-9</b>	<b>1-9</b>	<b>1-9</b>
	12,233	9,771	39,501	29,004
	6,245	6,066	19,649	18,881
	1,141	821	3,674	

2017 1-9

	<b>1-9</b>	<b>1-9</b>
	211,434	161,422

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	<b>1-9</b>	<b>1-9</b>
	17,037	19,062
	(30,989)	(17,717)
	(65,804)	(15,889)
	(2,622)	(5,324)
	0	(309)
	(96,793)	(33,915)
	(79,756)	(14,853)
	(153)	65
	22,923	31,009
	41,188	42,323
	64,111	73,332

2017 1-9

4.2