

601088



2016

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1.5 2015 10

	1-9	1-9		%
	124,803	134,814	131,446	(7.4)
	30,676	30,916	29,979	(0.8)
	17,307	17,193	16,555	0.7
	17,488	17,037	16,395	2.6
%	5.72	5.67	5.62	0.05
/	0.870	0.864	0.832	0.7
/	0.870	0.864	0.832	0.7
/	0.879	0.857	0.824	2.6
%	5.78	5.62	5.57	0.16

	7-9	7-9		%
	46,080	44,813	43,663	2.8
	12,256	9,517	9,114	28.8
	7,479	5,115	4,828	46.2
	7,566	5,042	4,748	50.1
%	2.48	1.72	1.68	0.76
/	0.376	0.257	0.242	46.2
/	0.376	0.257	0.242	46.2
/	0.380	0.253	0.238	50.1
%	2.51	1.70	1.66	0.81

	7 9	1-9
	(3)	(150)
	1	34
	112	285
	2	13

	7 9	1-9

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	2016 1-9	2015 1-9	2016 9 30	2015 12 31
	17,300	17,193	305,789	292,790
	1,340	1,914	4,785	5,278
	64	19,107	310,574	298,068

	14,530,574,452		14,530,574,452
HKSCC NOMINEES LIMITED	3,390,219,309		3,390,219,309
	571,607,143		571,607,143
	110,027,300		110,027,300
	67,562,482		67,562,482
	25,159,394		25,159,394
	15,000,000		15,000,000
50	14,808,126		14,808,126
	14,014,887		14,014,887
50	11,023,687		11,023,687
	HKSCC Nominees Limited		
	50		

HKSCC Nominees Limited

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2016	1-9		124,803	2015	134,814
		7.4%	30,676	2015	30,916
		0.8%	17,307	2015	17,193
		0.7%			

		2016 1-9	2015 1-9	(%)	
1		124,803	134,814	(7.4)	
2		73,457	82,664	(11.1)	
3		3,883	4,630	(16.1)	2016 5
4		3,952	3,285	20.3	
5		25	397	(93.7)	

6

		2016 9 30	2015 12 31	(%)	
1		82,213	47,850	71.8	
2		0	12	(100.0)	
3		4,272	17,649	(75.8)	
4		18,253	23,370	(21.9)	
5		4,534	3,613	25.5	
6		14,739	12,816	15.0	
7		9,202	12,248	(24.9)	
8		36,179	32,263	12.1	
9		28,885	31,517	(8.4)	
10		4,464	3,624	23.2	
11		563	784	(28.2)	
12		1,526	2,786	(45.2)	
13		27,009	6,580	310.5	
14		0	4,998	(100.0)	
15		60,983	54,179	12.6	
16		14,865	34,606	(57.0)	
17		(14)	(237)	(94.1)	
18		8,404	6,570	27.9	

		2016 1-9	2015 1-9	(%)	
1		62,174	37,025	67.9	
		(9,279)	4,619	(300.9)	

1-9

	2016 1-9			2015 1-9				
		%	/		%	/	%	%
	282.4	98.0	283	275.2	98.7	302	2.6	(6.3)
	277.9	96.5	284	265.2	95.2	300	4.8	(5.3)
1	109.6	38.1	205	112.7	40.5	227	(2.8)	(9.7)
2	168.3	58.4	336	152.5	54.7	354	10.4	(5.1)
	4.4	1.5	185	9.9	3.5	344	(55.6)	(46.2)
	0.1	0.0c						

	2016 1-9	2015 1-9		
	/	/	%	
	17.8	21.8	(18.3)	
	48.4	56.4	(14.2)	

1
73% 2 9% 3
18%

3.3

1
7-9

/				
				/
	63.44	59.08	1,174	301
	61.76	57.44	1,184	297
	0.00	0.00	321	604
	0.23	0.23	1,837	197
	1.45	1.41	836	453
	0.42	0.36	1,395	460
	0.42	0.36	1,395	460
/	63.86	59.44	1,175	302

2016 7-9

2,598

2,070

1-9

/				
				/
	173.62	162.25	3,212	303
	168.60	157.36	3,231	299
	0.02	0.02	1,435	597
	0.51	0.50	4,073	226
	4.49	4.37	2,594	459
	1.25	1.09	4,156	443
	1.25	1.09	4,156	443
/	174.87	163.34	3,172	304

2

	2015 12 31	2016 1-9	2016 9 30
1.	52,257	1,000	53,257
2.	16	0	16
3.	125	0	125
4.	1,730	0	1,730
	54,128	1,000	55,128

2016 8 1 1,000

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	2016 1-9	2015 1-9	(%)
	51,108	54,840	(6.8)
	34,414	34,936	(1.5)
	16,694	19,904	(16.1)
%	32.7	36.3	3.6

2016 7-9 302 / 2015 325 /
 7.1% 212.2 / 2015 211.4 /
 0.4%

2016 1-9 304 / 2015 336 /
 9.5% 208.7 / 2015 218.2 /
 4.4%

3.4

2016 181.2
 21.9% 24,477 2015 20,148
 21.5%
 151.8 29.2% 118.4 42.7%

				3,728	2015	2,713
	37.4%					
3.5						
2016						
					GDP	6.7%
	0.2				PPI	2.9%
	5.0%	9	PPI	0.1%	2012	3

5月1日执行每年276个工作日制度以来，煤炭供应量受到抑制，煤炭市场朝有利于供应方的方向转变，环渤海动力煤（5500大卡）价格指数月度均价自1月份372元/吨的最低价格持续上升。但因年初指数处于低位，1-6月份指数均价较上年同期同比下降16.5%。7月份以来，火电生产增加，电煤需求上升，煤炭供需在较短时间内从基本平衡转向部分地区供应偏紧，煤价快速攀升。截至9月底，环渤海动力煤（5500大卡）价格指数回升至561元/吨，较年初上升51.2%；10月26日继续上涨至593元/吨。三季度指数均价同比涨幅达到15.9%。

1-9	24.6	10.5%		11.1%
9	12.3%	28.4	¹	2.4%
		1.8	15.2%	
32,289	0.8%	7-9	10.0%	
7.6				213
2016 10 1	2016 12 31			10
				11

3.6

3.7 5%

¹

				2005 5 24		
			12	2015 7 8	2015 7 8	
			A		2015 7 8	
					2016 7 7	

3.8

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3.10

2016 10 28

	563	784
	1,526	2,786
	28,712	33,357
	27,009	6,580
	0	4,998
	110,412	101,487
	60,983	54,179
	14,865	34,606
	2,360	2,523
	2,386	2,197
	815	816
	81,409	94,321
	191,821	195,808
	19,890	19,890
	74,729	74,729
	(14)	(237)
	8,404	6,570
	11,433	11,433
	191,347	180,405
	305,789	292,790
	68,408	65,367
	374,197	358,157
	566,018	553,965

2016 9 30

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	69,471	30,563
	0	12
	1,066	3,368
	19,271	16,017
	400	468
	4,251	6,256
	7,625	7,680
	4,184	4,436
	47,375	50,507
	153,643	119,307
	1,647	1,647
	120,139	118,112
	38,527	41,247
	3,635	3,381
	107	88
	13,744	14,285
	940	954
	493	456
	26,858	30,842
	206,090	211,012
	359,733	330,319
	6,000	7,300
	70	366
	6,041	8,327
	82	67
	2,311	1,484
	3,886	2,892
	348	480
	4,473	3,482
	20,622	1,938
	41,831	54,587

	85,664	80,923
	3,717	3,872
	4,929	24,955
	876	1,115
	1,209	1,156
	10,731	31,098
	96,395	112,021
	19,890	19,890
	77,429	77,429
	5,994	4,554
	11,433	11,433
	148,592	104,992

2016 1—9

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	7-9	7-9	1-9	1-9
	46,080	44,813	124,803	134,814
	46,080	44,813	124,803	134,814
	34,104	35,852	94,750	104,811
	26,834	28,128	73,457	82,664
	1,418	1,533	3,883	4,630
	135	116	388	377
	4,544	4,713	13,045	13,458
	1,153	1,362	3,952	3,285
	20	0	25	397
	2	2	13	1
	149	183	201	439
	113	122	148	250
	12,127	9,146	30,267	30,443
	335	547	1,040	909
	206	176	631	436
	10	59	169	120
“ ”	12,256	9,517	30,676	30,916
	2,427	2,389	7,170	7,309
“ ”	9,829	7,128	23,506	23,607
	7,479	5,115	17,307	17,193
	2,350	2,013	6,199	6,414
	87	(55)	235	22
	84	(66)	223	13
	0	0	(7)	(5)
1.	0	0	(7)	(5)
	84	(66)	230	18

	7-9	7-9	1-9	1-9
1.	0	0	15	0
2.	84	(66)	215	18
	3	11	12	9
	9,916	7,073	23,741	23,629
	7,563	5,049	17,530	17,206
	2,353	2,024	6,211	6,423
(/)	0.376	0.257	0.870	0.864
(/)	0.376	0.257	0.870	0.864

2016 1—9

	7-9	7-9	1-9	1-9
	9,771	10,966	29,004	34,016
	6,066	7,134	18,881	21,417
	780	771	2,110	2,593
	0	0	0	3
	1,273	1,286	3,663	4,006
	222	557	893	1,585 557595C

2016 1—9

	1-9	1-9
	161,422	144,370
	0	2,891
	0	946
	995	1,010
	36	45
	3,841	4,418
	166,294	153,680
	(53,670)	(70,720)
	(9,415)	0
	(668)	0
	(191)	(228)
	(12,863)	(13,221)
	(24,463)	(27,939)
	(2,850)	(4,547)
	(104,120)	(116,655)
	62,174	37,025
	40	400
	428	913
	338	66
	1,109	845
	1,915	2,224
	(13,790)	(16,156)
	(38)	(48)
	(4,464)	(1,478)
	(18,292)	(17,682)
	(16,377)	(15,458)
	743	1,425
	743	1,425

	1-9	1-9
	18,319	18,795
	0	24,034
	0	700
	19,062	44,954
	(17,717)	(30,293)
	(15,889)	(25,299)
	(5,324)	(6,245)
	(309)	(4)
	(33,915)	(55,596)
	(14,853)	(10,642)
	65	168
	31,009	11,093
	42,323	35,956
	73,332	47,049

2016 1—9

	1-9	1-9
	29,660	30,140
	17	0
	2,579	2,842
	32,256	32,982
	(11,334)	(13,271)
	(4,011)	(4,614)
	(5,896)	(7,736)
	(1,062)	(4,508)
	(22,303)	(30,129)
	9,953	2,853
	40,731	39,050
	49,719	9,540
	86	826
	7,700	3,100
	98,236	52,516
	(1,750)	(1,568)
	(35,926)	(34,241)
	(19,636)	(5,645)
	(57,312)	(41,454)
	40,924	11,062
	25,796	14,834
	0	14,985
	25,796	29,819
	(41,887)	(24,139)
	(7,813)	(16,779)
	(49,700)	(40,918)
	(23,904)	(11,099)
	0	0

	1-9	1-9
	26,973	2,816
	20,414	25,448
	47,387	28,264

4.2