



**2015**

2015 10 24



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1.3

1.4

	<b>2015 7-9</b>	<b>2014 7-9</b>	<b>(%)</b>	<b>2015 1-9</b>	<b>2014 1-9</b>	<b>(%)</b>
	43,663	61,245	(28.7)	131,446	190,442	(31.0)
	9,114	13,658	(33.3)	29,979	46,992	(36.2)
	4,828	8,086	(40.3)	16,555	29,632	(44.1)
	4,748	8,033	(40.9)	16,395	29,472	(44.4)
%	1.68	2.87	1.19	5.62	10.51	4.89
/	0.242	0.407	(40.3)	0.832	1.490	(44.1)
/	0.242	0.407	(40.3)	0.832	1.490	(44.1)
/	0.238	0.404	(40.9)	0.824	1.482	(44.4)
%	1.66	2.85	1.19	5.57	10.45	4.88

	<b>2015 7-9</b>	<b>2015 1-9</b>
	(53)	(92)
	1	28
	282	505
	0	3
	36	151
	(29)	(148)
	(72)	(146)
	165	301
	80	160
	85	141

2.2

2.3

	2015		2014	
	1-9	1-9	9 30	12 31
	<b>16,555</b>	29,632	<b>295,651</b>	291,789
	<b>1,835</b>	1,791	<b>5,278</b>	5,455
	<b>18,390</b>	31,423	<b>300,929</b>	297,244

## 2.4

				253,412		
A				251,026		
H				2,386		
		(%)				
	14,530,574,452	73.06	0			
HKSCC NOMINEES LIMITED	3,390,176,309	17.04	0			
	563,542,455	2.83	0			
	110,027,300	0.55	0			
	25,159,394	0.13	0			
	19,982,845	0.10	0			
	16,880,000	0.08	0			
50	15,438,333	0.08	0			
	15,000,000	0.08	0			

	50
	HKSCC Nominees Limited

2.5

3.1

2015	1-9		131,446	2014	190,442
		31.0%	29,979	2014	46,992
36.2%			16,555	2014	29,632
					44.1%

		<b>2015 1-9</b>	<b>2014 1-9</b>	(%)	
6		<b>394</b>	224	75.9	
7		<b>908</b>	496	83.1	
8		<b>431</b>	343	25.7	
9		<b>7,099</b>	10,027	(29.2)	23.7%                      21.3%                      2.4

		<b>2015 9 30</b>	<b>2014 12 31</b>	(%)	
1		<b>55,223</b>	43,502	26.9	
2		<b>26</b>	442	(94.1)	
3		<b>17,395</b>	6,168	182.0	
4		<b>23,339</b>	23,746	(1.7)	
5		<b>4,905</b>	5,910	(17.0)	
6		<b>298,148</b>	259,909	14.7	
7		<b>39,365</b>	77,326	(49.1)	
8		<b>3,016</b>	12,246	(75.4)	
9		<b>2,655</b>	4,387	(39.5)	
10		<b>34,175</b>	26,813	27.5	
11		<b>14,992</b>	9,994	50.0	
12		<b>34,316</b>	24,933	37.6	
13		<b>6,651</b>	4,638	43.4	



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		<b>2015 1-9</b>	<b>2014 1-9</b>	<b>(%)</b>	
1		<b>36,142</b>	62,570	(42.2)	
		<b>4,619</b>	8,345	(44.6)	
		<b>31,523</b>	54,225	(41.9)	
2		<b>(14,978)</b>	(29,802)	(49.7)	

2.

		2015 1-9	2014 1-9	(%)	
		<b>93,170</b>	148,766	(37.4)	
		<b>76,608</b>	122,649	(37.5)	
		<b>16,562</b>	26,117	(36.6)	
	%	<b>17.8</b>	17.6	0.2	

3.

	2015 1-9	2014 1-9	
	/	/	%
	<b>115.2</b>	125.8	(8.4)
	<b>20.2</b>	24.6	(17.9)
	<b>16.8</b>	15.3	9.8
	<b>21.8</b>	19.7	10.7
	<b>56.4</b>	66.2	(14.8)

2015 1-9 115.2 / 2014 125.8 /  
 8.4%

1 17.9%

2 9.8%

3 10.7%

4 14.8%

" "

1

71% 2 10% 3

19%

0.1%



4.3%

2.2%

1.6

8

29.8%

31,532



## 4.1

	55,223	43,502
	26	442
	17,395	6,168
	23,339	23,746
	4,905	5,910
	3,535	3,128
	15,870	15,790
	16,352	19,951
	136,645	118,637
	1,795	1,795
	4,970	4,952
	298,148	259,909
	39,365	77,326
	1,431	1,598
	35,057	33,395
	2,615	3,280
	2,797	2,561
	29,684	29,143
	415,862	413,959
	552,507	532,596
	3,016	12,246
	2,655	4,387
	28,184	33,899
	5,184	4,386
	4,034	3,252
	5,732	6,240

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	716	779
	1,412	1,501
	34,175	26,813
	4,939	5,364
	14,992	9,994
	105,039	108,861
	47,066	38,726
	34,316	24,933
	1,798	1,546
	2,203	2,102
	802	801
	86,185	68,108
	191,224	176,969
	19,890	19,890
	77,739	77,739
	(340)	(353)
	6,651	4,638
	11,433	11,433
	180,278	178,442
	295,651	291,789
	65,632	63,838
	361,283	355,627
	552,507	532,596

2015 9 30

	41,556	36,195
	26	43
	2,464	501
	19,889	15,630
	439	489
	6,080	3,604
	7,659	4,891
	5,483	5,416
	45,271	46,677
	128,867	113,446
	1,647	1,647
	111,110	107,887
	38,113	40,768
	7,129	8,946
	94	462
	13,799	12,671
	1,363	1,809
	346	342
	35,814	48,730
	209,415	223,262
	338,282	336,708
	6,450	14,020
	648	145
	7,550	10,152
	248	56
	1,662	1,324
	3,393	2,324
	535	642
	3,984	2,694
	2,435	462
	62,283	56,595
	89,188	88,414



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	3,289	4,008
	24,911	24,933
	1,108	1,150
	1,148	1,106
	30,456	31,197
	119,644	119,611
	19,890	19,890
	79,047	79,047
	4,588	3,113
	11,433	11,433
	103,680	103,614
	218,638	217,097
	338,282	336,708

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	7-9	7-9	1-9	1-9
	43,663	61,245	131,446	190,442
	43,663	61,245	131,446	190,442
	35,110	47,808	102,384	144,062
	27,571	41,628	80,799	125,658
	1,521	953	4,582	2,822
	116	182	377	563
	4,621	4,557	13,203	12,575
	1,282	510	3,029	2,220
	(1)	(22)	394	224
" "	2	(61)	1	(35)
" "	183	292	439	494
	122	112	250	252
" "	8,738	13,668	29,502	46,839
	547	174	908	496
	171	184	431	343
	59	85	120	102
" "	9,114	13,658	29,979	46,992
	2,305	3,011	7,099	10,027
" "	6,809	10,647	22,880	36,965
	4,828	8,086	16,555	29,632
	1,981	2,561	6,325	7,333
	(60)	(312)	22	(35)
	(72)	(312)	12	(37)
	(72)	(312)	12	(37)
1.	(72)	(312)	12	(37)
	12	0	10	2
	6,749	10,335	22,902	36,930
	4,756	7,774	16,567	29,595

2015

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	<b>7-9</b>	<b>7-9</b>	<b>1-9</b>	<b>1-9</b>
	1,993	2,561	6,335	7,335

	<b>7-9</b>	<b>7-9</b>	<b>1-9</b>	<b>1-9</b>
	10,966	12,687	34,016	39,011
	7,134	8,585	21,417	25,487
	771	385	2,593	1,131
	-	1	3	4
	1,286	1,146	4,006	3,357
	557	534	1,585	1,516
" "	2	(60)	0	(45)
" "	7,368	5,964	11,635	8,404
	26	81	264	173
" "	8,588	7,940	16,047	15,875
	28	17	43	60
	37	52	114	124
	0	7	23	9

"

	<b>1-9</b>	<b>1-9</b>
	138,792	213,817
	2,891	8,514
	946	1,125
	1,010	0
	35	75
	4,288	6,137
	147,962	229,668
	(67,374)	(118,136)
	0	(980)
	(228)	(314)
	(12,761)	(13,258)
	(27,162)	(29,205)
	(4,295)	(5,205)
	(111,820)	(167,098)
	36,142	62,570
	400	381
	913	957
	66	30
	845	611
	2,224	1,979
	(15,676)	(30,037)
	(48)	(45)
	(1,478)	(1,699)
	(17,202)	(31,781)
	(14,978)	(29,802)
	1,425	562
	1,425	562
	17,520	41,081
	24,034	39,945
	700	53

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	<b>1-9</b>	<b>1-9</b>
	43,679	81,641
	(28,896)	(64,100)
	(25,025)	(26,233)
	(6,245)	(4,443)
	(4)	0
	(53,925)	(90,333)
	(10,246)	(8,692)
	168	(77)
	11,086	23,999
	35,956	38,332
	47,042	62,331

	<b>1-9</b>	<b>1-9</b>
	30,140	33,578
	0	17
	2,842	3,993
	32,982	37,588
	(13,271)	(19,350)
	(4,614)	(3,364)
	(7,736)	(6,236)
	(4,508)	(2,521)
	(30,129)	(31,471)
	2,853	6,117
	39,050	29,154
	9,540	8,384
	826	182
	3,100	580
	52,516	38,300
	(1,568)	(5,834)
	(34,241)	(23,410)
	(5,645)	(89)
	(41,454)	(29,333)
	11,062	8,967
	14,834	37,026
	14,985	39,945
	0	53
	29,819	77,024
	(24,139)	(56,570)
	(16,779)	(19,916)
	(40,918)	(76,486)
	(11,099)	538
	0	0
	2,816	15,622

1-9

1-9