



.....	3
.....	3
.....	7
.....	14

1.1

1.2

1.3

1.4

2.3

2013

2014
2014

2014

2

3.9

2013 12

100%

2014

100%

1.5

2.1

				2014
				(%)
	561,391	507,674	507,674	10.6
	285,687	272,362	272,362	4.9
/	14.36	13.69	13.69	4.9
	1-9	1-9		(%)
	62,570	36,353	35,171	72.1
	54,225	47,668	46,472	13.8
/	3.15	1.83	1.77	72.1
/	2.73	2.40	2.34	13.8

	(7-9)	(7-9)		(7-9) %	1-9	1-9		(1-9) %
	61,245	71,041	70,241	(13.8)	190,442	199,703	197,467	(4.6)
	13,658	16,326	16,060	(16.3)	46,992	52,093	51,232	(9.8)
	8,086	9,917	9,692	(18.5)	29,632	34,318	33,671	(13.7)
	8,033	9,693	9,690	(17.1)	29,472	33,745	33,747	(12.7)
%	2.87	3.72	3.69	0.85	10.51	12.86	12.79	2.35
/	0.407	0.498	0.487	(18.5)	1.490	1.725	1.693	(13.7)
/	0.407	0.498	0.487	(18.5)	1.490	1.725	1.693	(13.7)
/	0.404	0.488	0.487	(17.1)	1.482	1.697	1.697	(12.7)
%	2.85	3.64	3.69	0.79	10.45	12.64	12.81	2.19

	7 9	1-9
	(75)	(84)
	5	31
	106	273
	-	7
	-	26
	47	98
	(49)	(125)
	55	55
	(35)	(92)
	(1)	(29)
	53	160

2.2

		2014		2013		2012	
		7-9	1-9	7-9	1-9	7-9	1-9
1.		79.0	234.0	78.5	236.8	0.6	(1.2)
2.		106.7	341.3	123.6	366.3	(13.7)	(6.8)
		0.3	1.2	0.6	1.9	(50.0)	(36.8)
		1.2	5.6	1.5	7.4	(20.0)	(24.3)
1.		53.93	160.45	64.03	169.49	(15.8)	(5.3)
2.		49.96	149.34	59.70	158.01	(16.3)	(5.5)
1.		67.9	220.4	62.9	196.7	7.9	12.0
2.		67.4	222.4	69.4	205.0	(2.9)	8.5
1.		57.7	167.2	53.5	155.2	7.9	7.7
2.		58.2	177.7	50.4	161.4	15.5	10.1
		31.5	99.3	31.3	92.4	0.6	7.5
		9.1	26.4	7.7	22.8	18.2	15.8
3.		21.4	66.9	40.1	83.4	(46.6)	(19.8)
4.		17.3	55.5	38.4	81.7	(54.9)	(32.1)

2.3

	2014		2013	
	1-9	1-9	9 30	12 31
	29,632	34,318	285,687	272,362
	1,791	779	4,555	4,541
	31,423	35,097	290,242	276,903

2.4

					286,911		
A					284,397		
H					2,514		
			(%)				
	0	14,521,846,560	73.01	0			
HKSCC NOMINEES LIMITED	-38,600	3,390,308,030	17.05	0			
	0	180,000,000	0.90	0			
A50ETF	8,776,383	33,056,828	0.17	0			
()	25,909,989	30,250,086	0.15	0			
50	-6,701,245	23,770,433	0.12	0			
UBS AG	-5,016,224	20,987,438	0.11	0			
()	391,400	20,591,732	0.10	0			
MERRILL LYNCH INTERNATIONAL	3,310,136	17,484,375	0.09	0			
300	-1,124,103	16,320,014	0.08	0		80,600	
				14,521,846,560			14,521,846,560
HKSCC NOMINEES LIMITED				3,390,308,030			3,390,308,030
				180,000,000			180,000,000

2.5

:

	0
--	---

3.1

2014	1-9		190,442		2013	199,703
		4.6%			2013	52,093
			46,992		2013	34,318
		9.8%	29,632			
		13.7%				

		2014 1-9	2013 1-9	(%)	
1		190,442	199,703	(4.6)	
2		125,658	129,182	(2.7)	
3		2,822	3,549	(20.5)	
4		563	698	(19.3)	
5		2,220	1,783	24.5	
6		224	159	40.9	
7		(35)	(127)	(72.4)	
8		496	259	91.5	
9		343	436	(21.3)	
10		10,027	10,103	(0.8)	21.3% 19.4% 1.9

		2014 9 30	2013 12 31	(%)	
1		71,359	46,272	54.2	
2		7,845	5,178	51.5	
3		23,990	22,043	8.8	
4		8,208	4,494	82.6	
5		19,941	17,641	13.0	
6		2,347	1,488	57.7	
7		33,406	24,842	34.5	
8		4,814	1,401	243.6	
9		7,137	4,601	55.1	
10		764	411	85.9	
11		1,537	2,537	(39.4)	
12		39,442	27,343	44.2	
13		14,982	9,982	50.1	
14		24,905	4,958	402.3	
15		5,375	3,598	49.4	

		2014 1-9	2013 1-9	(%)	
1		62,570	36,353	72.1	
		8,345	(11,315)	(173.8)	
		54,225	47,668	13.8	
2		(29,802)	(28,618)		

3.2

1

	2014 1-9			2013 1-9				
		%	/		%	/	%	%
	331.8	97.2	348.5	359.0	98.0	387.3	(7.6)	(10.0)
	305.4	89.5	343.9	299.1	81.7	372.3	2.1	(7.6)
1	128.9	37.8	249.8	139.6	38.1	276.3	(7.7)	(9.6)
2	176.5	51.7	412.7	159.5	43.6	456.3	10.7	(9.6)
	20.8	6.1	384.6	52.5	14.3	458.5	(60.4)	(16.1)
	5.6	1.6	464.2	7.4	2.0	487.9	(24.3)	(4.9)
	1.2	0.4	564.8	1.9	0.5	622.0	(36.8)	(9.2)
	8.3	2.4	550.8	5.4	1.5	644.6	53.7	(14.6)
	1.5	0.4	105.4	1.4	0.4	84.1	7.1	25.3
	6.8	2.0	651.5	4.0	1.1	843.6	70.0	(22.8)
/	341.3	100.0	354.2	366.3	100.0	392.3	(6.8)	(9.7)

1.

2.

3.

2

2014 0- -93AY

1
 62% 2 9% 3
 29%

3.3

2014 1-9 358.1 / 2013 366.8 /
 2.4% 236.8 / 2013 255.8
 / 7.4%
 9 1 [2014]1908
 2014 9 13 2014
 6.1 2014-057

3.4

2014 9 13 217 2017
 2013 9 17
 2013-033
 9 15 5 2
 2014 3 13
 2014-009
 9 20 2 168
 2
 9 29 180 2

3.7

2014 8 19 9 16 2014
 100
 2014 8 22 2014
 2014 8 23 2014
 2014-049
 2014 8 22
 2007 A
 2014 8 23
 2014-054

3.8 5%

			24	2005 5	2005 5 24	2019 6 30 14 2014 6 27 2014-037

3.9

3.10

2013
 9 33 40 2014 7 1 41

 2014 2 2014 3

	2014 6 30			2013 12 31		
	5,708	4,671	-1,037	5,835	4,803	-1,032
	0	1,037	+1,037	0	1,032	+1,032

3.11

3.12

	2014 10 24

2014 9 30

:

:

	71,359	46,272
	428	495
	7,845	5,178
	23,990	22,043
	8,208	4,494
	3,798	3,156
	19,941	17,641
	18,504	22,129

	6,894	5,799
	764	411
	1,537	2,537
	39,442	27,343
	5,454	10,659
	14,982	9,982
	145,515	131,509
	38,989	37,084
	24,905	4,958
	1,806	1,867
	2,076	1,973
	838	746
	68,614	46,628
	214,129	178,137
	19,890	19,890
	77,739	77,686
	5,375	3,598
	11,433	11,433
	171,339	159,807
	(89)	(52)
	285,687	272,362
	61,575	57,175
	347,262	329,537
	561,391	507,674

2014 9 30

:

:

:

	53,061	37,930
	28	106
	1,985	314
	15,301	8,649
	718	907
	3,002	2,533
	7,692	7,580
	5,741	5,789
	49,098	46,614
	136,626	110,422
	735	885
	106,552	99,576
	39,523	40,708
	9,765	8,885
	625	758
	12,794	13,209
	2,071	1,987
	443	411
	43,429	36,450
	215,937	202,869
	352,563	313,291
	25,080	29,180
	1,091	-
	7,575	9,078
	59	52
	1,822	2,180
	3,865	2,291
	615	179

	4,395	2,739
	300	857
	58,770	34,883
	103,572	81,439
	4,483	4,554
	24,905	4,958
	1,348	1,285
	1,099	1,051
	31,835	11,848
	135,407	93,287
	19,890	19,890
	79,047	78,994
	3,949	2,403
	11,433	11,433
	102,837	107,284
	217,156	220,004
	352,563	313,291

	7-9	7-9	1-9	1-9
	61,245	71,041	190,442	199,703
	61,245	71,041	190,442	199,703
	47,808	54,764	144,062	147,816
	41,628	47,720	125,658	129,182
	953	1,140	2,822	3,549
	182	247	563	698
	4,557	4,702	12,575	12,445
	510	910	2,220	1,783
	(22)	45	224	159
	(61)	9	(35)	(127)
	292	49	494	510
	112	32	252	304
	13,668	16,335	46,839	52,270
	174	78	496	259
	184	87	343	436
	85	30	102	257
	13,658	16,326	46,992	52,093
	3,011	3,446	10,027	10,103
	10,647	12,880	36,965	41,990
	8,086	9,917	29,632	34,318
	2,561	2,963	7,333	7,672

(/

|

	7-9	7-9	1-9	1-9
	12,687	13,714	39,011	40,778
	8,585	8,785	25,487	26,718
	385	412	1,131	1,251
	1	-	4	5
	1,146	1,667	3,357	4,584
	534	412	1,516	874
	-60	10	-45	-125
	5,964	5,447	8,404	8,415
	81	6	173	160
	7,940	7,895	15,875	15,636
	17	16	60	45
	52	24	124	70
	7	1	9	1
	7,905	7,887	15,811	15,611
	512	822	2,157	1,947
	7,393	7,065	13,654	13,664
	-	-	-	-
	7,393	7,065	13,654	13,664

	30	75
	611	3,781
	1,979	5,050
	(30,037)	(32,250)
	(45)	

	1-9	1-9
	562	1,726
	41,081	38,313
	39,945	9,970
	53	-
	81,641	50,009
	(64,100)	(37,303)
	(26,233)	(26,676)
	(4,443)	(4,372)
	-	(15)
	(90,333)	(63,994)
	(8,692)	(13,985)
	(77)	(45)
	23,999	(6,295)
	38,332	51,637
	62,331	45,342

2014 1 9

	1-9	1-9
	33,578	26,529
	17	9
	3,993	4,446
	37,588	30,984
	(19,350)	(20,206)
	(3,364)	(4,465)
	(6,236)	(7,219)
	(2,521)	(1,507)
	(31,471)	(33,397)
	6,117	(2,413)
	29,154	29,230
	8,384	6,024
	182	15
	580	-
	38,300	35,269
	(5,834)	(9,245)
	(23,410)	(40,943)
	(89)	(14,010)
	(29,333)	(64,198)
	8,967	(28,929)
	37,026	232,351
	39,945	9,970
	53	-
	77,024	242,321
	(56,570)	(200,144)

	1-9	1-9
	(19, 916)	(20, 177)
	(76, 486)	(220, 321)
	538	22, 000
	15, 622	(9, 342)
	37, 176	43, 789
	52, 798	34, 447